

CIRCULAR

NSE

List of Securities moving to Stage I of Graded Surveillance Measure (GSM)

List of Securities moving to Stage II of Graded Surveillance Measure (GSM)

List of Securities moving to Stage III of Graded Surveillance Measure (GSM)

List of Securities moving to Stage IV of Graded Surveillance Measure (GSM)

Applicability of Additional Surveillance Measure (ASM)

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

BSE

Reporting of Client Level Cash & Cash Equivalent and Bank account Balances

Listing of further securities issued by the companies under ESOP/ESOS

CHANGE IN CIRCUIT FILTER

Graded Surveillance Measure (GSM) – List of securities moving into their respective GSM Stages

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

Applicability of Additional Surveillance Measure

Introduction of Systematic Transfer Plan(STP) as per AMC Master

MCX

Reminder – Submission of Internal Audit Report and data pertaining to Risk Based Supervision

ICEX

CTCL SYSTEM AUDIT OF TRADING MEMBER

CERTAIN SCHEME LEVEL CHANGES OF ICICI PRUDENTIAL MUTUAL FUND ON ICEXMF PLATFORM.

NCDEX

Applicability of Event based Additional Surveillance Margin (E-ASM)

Availability of new version of CTCL & IBT trading software from M/s. Refinitiv India Pvt Ltd

NSDL

No Circulars

INDIA INX

NISM-Series-XVI: Commodity Derivatives Certification Examination

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